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**Molecular-based detection of potentially pathogenic bacteria in membrane
bioreactor (MBR) systems treating municipal wastewater: a case study**

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Running title: MBR-based removal of pathogenic species

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Abstract Although MBR systems provide better removal of pathogens compared to conventional activated sludge processes, they do not achieve total log removal. The present study examines two MBR systems treating municipal wastewater, one a full-scale MBR plant and the other a lab-scale anaerobic MBR. High-throughput sequencing and digital PCR quantification were utilized to monitor the log removal values (LRVs) of associated pathogenic species, and their abundance in the MBR effluents. Results showed that specific removal rates vary widely regardless of the system employed. Each of the two MBR effluents' microbial communities contained genera associated with opportunistic pathogens (e.g., *Pseudomonas*, *Acinetobacter*) with a wide-range of log reduction values (< 2 to > 5.5). Digital PCR further confirmed that these bacterial groups included pathogenic species, in several instances at LRVs different than those for their respective genera. These results were used to evaluate the potential risks associated both with the reuse of the MBR effluents for irrigation purposes and with land application of the activated sludge from the full-scale MBR system.

Keywords pathogens; removal rates; aerobic; anaerobic; reuse; microbial regrowth

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5 **23 Introduction**

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7 24 The issue of pathogen presence in treated wastewater effluents has gained attention
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9 25 recently due to an increased interest in reuse applications (Li et al. 2013; Zanetti et al.
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11 26 2010). Previous studies have highlighted the advantages of aerobic MBR systems for the
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14 27 removal of microbial indicator bacteria (i.e. *E. coli*, total coliforms, fecal coliforms) from
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16 28 effluent discharges (Francy et al. 2012; Hai et al. 2014; Ottoson et al. 2006). Despite the
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18
19 29 high quality and low particulate effluents produced by MBR systems, it has been
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21 30 observed that 100% rejection of bacteria is not achievable by MBRs when operated with
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24 31 microfiltration (MF) membranes and that log removal rates (LRVs) vary based on the
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26 32 microbial indicator detected (Jong et al. 2010; Trinh et al. 2012; van den Akker et al.
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28
29 33 2014). This variability in microbial removal rates ($< 10^4$ to $> 10^6$ removal) poses an
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31 34 obstacle for reuse purposes, as it means chlorine disinfection remains necessary for post-
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34 35 MBR effluents. Chlorination substantially reduces microbial risk but toxic and
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36 36 carcinogenic disinfection byproducts formed by chlorination can have a deleterious effect
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39 37 on effluents being applied for reuse (Krasner et al. 2009; Richardson et al. 2007).
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43 39 An additional issue associated with aerobic MBRs, and activated sludge processes in
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45 40 general, is that of sludge production and disposal. Despite land application of sewage
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47 41 sludge being widely used throughout the world, pathogen-associated health effects of this
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49 42 practice are still of significant concern (Lewis and Gattie 2002; Lowman et al. 2013).
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51 43 This is, in part, due to inadequate treatment of sewage sludge before land application or
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53 44 disposal, especially in developing and industrialized countries (Pérez-Elvira et al. 2006).
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56 45 For example, a recent study assessing wastewater treatment practices in China found that
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5 46 the vast majority of sludge treatment processes consisted of only sludge thickening and
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7 47 mechanical dewatering (Jin et al. 2014).
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11 49 Given the limitations of aerobic MBRs, anaerobic MBRs (AnMBRs) have been viewed
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14 50 as a potential alternative municipal wastewater treatment technology due to their low
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17 51 sludge production rates, low energy use, and nutrient rich effluents (Smith et al. 2012).
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19 52 However, due to the lack of full-scale systems in operation, research addressing the
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22 53 microbial removal efficiencies of AnMBRs has been limited (Ellouze et al. 2009; Wong
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24 54 et al. 2009). Despite the inherently different effluent water composition (i.e. nutrient
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26
27 55 content) produced from AnMBRs compared to aerobic MBR effluents, there have not yet
28
29 56 been any studies examining how these differences would impact the bacterial
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32 57 communities released into the environment. More specifically, there is a need to
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34 58 understand if and how the pathogenic bacteria present in wastewater influents would
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37 59 persist through AnMBR systems into their effluents.
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41 61 A wide range of pathogenic bacteria are known to be present in municipal wastewater
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44 62 (Cai et al. 2014; Cai and Zhang 2013; Ye and Zhang 2011). Given that significant
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47 63 variability has been observed in the removal rates of indicator bacteria by MBRs in
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50 64 previous studies (Zanetti et al. 2010), a systematic assessment based on comprehensive
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53 65 molecular-based detection is therefore needed to determine the removal efficacies of
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56 66 aerobic and anaerobic MBRs. In particular, the use of high detection sensitivity methods
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59 67 such as high-throughput sequencing and digital PCR would be useful in addressing the
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62 68 removal of pathogens by MBRs (Bian et al. 2015; Cai and Zhang 2013).
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70 The purpose of the present study is to employ high-throughput and digital PCR
71 approaches to examine the specific presence and removal of potentially pathogenic
72 bacteria in municipal wastewater by a full-scale aerobic MBR plant and a lab-scale
73 anaerobic MBR system. It was further intended to apply results obtained from the
74 molecular-based detection of specific pathogenic bacteria to evaluate the risks associated
75 with both the reuse of MBR effluents and the disposal/application of the aerobic MBR
76 activated sludge using quantitative microbial risk assessment (QMRA).

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5 77 **Materials & methods**

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7 78 **Full-scale aerobic MBR system description and sampling protocol**

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9 79 The aerobic MBR evaluated in this study was a full-scale wastewater treatment plant
10 receiving 6700 m³/d of raw wastewater. The full-scale aerobic MBR (AeMBR) system
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12 80 consisted of the following process units: (i) primary clarifier, (ii) anoxic and aerobic
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14 81 activated sludge tanks, (iii) submerged membrane tank, and (iv) holding tank (Figure
15
16 82 1A). Membranes employed were flat-sheet 0.4 µm MF membrane cartridges by Kubota
17
18 83 Membrane (Kubota Corporation, Osaka, Japan). A detailed description of the system
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20 84 operating conditions is provided in Appendix S1. Sampling was conducted between
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22 85 March 2015 and January 2016. Samples were collected from the influent, activated
23
24 86 sludge, and MBR effluent as indicated in Figure 1A. Influent samples were prepared by
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26 87 centrifuging 30 to 100 mL of influent at 9400 g for 10 min to obtain a biomass pellet
27
28 88 while effluent samples were prepared by filtering 2 L through a 0.4 µm polycarbonate
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30 89 membrane to retain the biomass. Finally, activated sludge samples were obtained by
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32 90 mixing 0.2 mL of sludge with 0.8 mL of 1X PBS solution and centrifuging at 9400 g for
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34 91 10 min.
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44 93 **Lab-scale anaerobic MBR system description and sampling protocol**

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46 94 The anaerobic MBR (AnMBR) used in this study was a mesophilic up-flow attached-
47
48 95 growth (UA) 2-liter anaerobic reactor as described previously (Harb et al. 2015). The
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50 96 reactor was connected in external cross-flow configuration to a 0.3 µm polyvinylidene
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52 97 difluoride (PVDF) MF membrane (Figure 1B). The system was fed with the same
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54 98 municipal wastewater being treated by the full-scale MBR plant. A detailed description
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56 99 of the reactor operational conditions is provided in Appendix S1. Sampling was
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5 100 conducted over a 9-month period from April 2015 to January of 2016. The AnMBR
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7 101 effluent was sampled by filtering 0.5 L through a 0.4 µm polycarbonate membrane to
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9 102 retain the biomass. The AnMBR was also sampled for suspended and attached biomass
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11 103 using protocols described previously (Harb et al. 2015).
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15 104 **Water quality and biogas measurements**

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17 105 Water quality was monitored for both the AeMBR and AnMBR influents and effluents
18
19 106 by measuring COD, ammonia, nitrate and nitrite content. COD of influent and effluent
20
21 107 samples was measured using either LCK 314 (15-150 mg/L) or LCK 514 COD (100-
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23 108 2000 mg/L) cuvette test vials depending on the concentration to be measured. NH₄⁺-N,
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25 109 NO₂⁻-N, NO₃⁻-N concentrations were measured using Test 'N Tube high range ammonia
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27 110 kit, TNTplus 839, and TNTplus 835, respectively. All measurements were conducted
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29 111 based on protocols specified by the manufacturer (Hach-Lange, Manchester, UK). Biogas
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31 112 produced from the AnMBR was captured continuously in gas bags from the headspace of
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33 113 the reactor and measured for volume, CH₄, O₂, N₂, and H₂ as described previously (Harb
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35 114 et al. 2015).
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43 115 **DNA extraction and 16S rRNA gene-based next generation sequencing**

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45 116 Genomic DNA was extracted using the UltraClean Soil DNA Isolation Kit (MoBio
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47 117 Laboratories, Carlsbad, USA) with slight modifications to the protocol by adding
48
49 118 lysozyme and achromopeptidase to the lysis buffer (Hong et al. 2011). Illumina MiSeq
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51 119 amplicon sequencing was performed to provide information on the total microbial
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53 120 community. Details of the primers, PCR protocol, and quality control are presented in
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55 121 Appendix S2. Purified amplicons were submitted to the KAUST Genomics Core lab for
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57 122 unidirectional sequencing on an Illumina MiSeq platform. Raw sequence reads were
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5 123 filtered to remove those determined to be chimeras and those with lengths of < 300 nt.
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7 124 Filtered sequence reads were analyzed using RDP classifier and an operational taxonomic
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9 125 unit (OTU)-based protocol as described previously (Harb et al. 2015). All high-
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11 126 throughput sequencing files were deposited in the European Nucleotide Archive (ENA)
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14 127 under study accession number PRJEB14612.
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17 18 128 **Species-targeted digital PCR (dPCR)**

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20 129 dPCR was performed to determine the relative abundances of species associated with
21
22 130 opportunistic pathogens in influent, effluent and sludge samples. dPCR was performed
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24 131 using primers targeting *Acinetobacter baumannii* (McConnell et al. 2012) (*ompA*),
25
26 132 *Klebsiella pneumoniae* (Lee et al. 2006) (*phoE*), and *Pseudomonas aeruginosa* (Lee et al.
27
28 133 2006) (*regA*). Relative gene abundances were normalized per liter of sample. *rpoB* gene
29
30 134 copy numbers were also quantified to estimate total bacterial cell counts on the basis of
31
32 135 single-copy gene homogeneity in all bacterial species (Dahllöf et al. 2000). dPCR was
33
34 136 performed using the Clarity digital PCR System with a 32-tube reader (JN Medsys,
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36 137 Singapore) based on manufacturer's instructions. A description of the primers, dPCR
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38 138 protocol, detection sensitivity, and thermal cycling programs used are presented in
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40 139 Appendix S3. Primer sequences and their associated target species are shown in Table
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45 140 S1.
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5 141 **Quantitative microbial risk assessment (QMRA)**
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8 142 To further evaluate the potential microbial risks arising from reuse of the effluents of
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10 143 both the AeMBR and AnMBR, QMRA was performed for the three pathogenic species
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12 144 previously detected by dPCR. Additionally, the disposal of dewatered activated sludge
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14 145 was evaluated by QMRA for microbial risk of human exposure for *A. baumannii* and *K.*
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16 146 *pneumoniae* due to their detection in the AeMBR activated sludge. Bacterial cell counts
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18 147 for each pathogenic species were estimated based on *ompA*, *phoE* and *regA* all being
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20 148 being single-copy genes (Fitch et al. 1993; Hedstrom et al. 1986; Martiny et al. 2006).
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22 149 Probability of transmission of the bacteria was calculated based on an assumed value of
23
24 150 2.0×10^{-6} (Gerba and Choi 2006). QMRA was performed based on the main induction
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26 151 route for agricultural workers being dermal exposure to liquid particulates during
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28 152 irrigation events and induction to individuals land applying and/or land filling dewatered
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30 153 activated sludge being through dermal contact with sludge and accidental ingestion of
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32 154 particulates. The individuals potentially exposed to the sludge include workers involved
33
34 155 in land application/disposal and other persons possibly entering disposal sites (Harder et
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36 156 al. 2014). Exposure assessment parameters were obtained from the USEPA exposure
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38 157 factors handbook (USEPA 2011). The k constants used for opportunistic pathogens were
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40 158 2.76×10^{-7} for *A. baumannii* (López-Rojas et al. 2011), 1.05×10^{-4} for *P. aeruginosa*
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42 159 (Hazlett et al. 1978), and 1.62×10^{-6} for *K. pneumoniae* (Domenico et al. 1982) as
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44 160 determined by their LD₅₀ dose based on an exponential model.
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54 161 Point risk estimates were calculated using the following equation:
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57 162 $\text{Point risk} = 1 - e^{-(k * \text{exposed dose})}$
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60 163 Annual risk estimates were further calculated using the following:
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164 Annual risk = $1 - (1 - \text{point risk})^{\text{number of exposure days per year}}$

165 Annual risk was evaluated based on an acceptable microbial risk of 1×10^{-4} (Smeets et al.
166 2009). QMRA description and calculations for exposure dosages, point risk and annual
167 risk values are provided in detail as Appendix S4.

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5 **169 Results**

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7 **170 AeMBR and AnMBR water quality measurements and performance**

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9
10 171 COD removal for the AeMBR system was greater than 93% for all samples (Table S3).
11
12 172 NH_4^+ -N was detected in influent at an average concentration of 12.0 ± 2.8 mg/L and was
13
14 173 undetected in AeMBR effluent samples. Conversely, nitrate but not nitrite was detected
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16 174 consistently in effluent samples at an average concentration of 15.2 ± 2.7 mg/L NO_3^- -N,
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18
19 175 implying full nitrification by the system.
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24 177 The AnMBR showed COD removal rates of 95-98% throughout operation (Table S4).
25
26 178 Ammonia was detected in influent wastewater at an average concentration of 252 ± 4
27
28 179 mg/L NH_4^+ -N while neither nitrite nor nitrate were detected. AnMBR effluent contained
29
30 180 an average concentration of 242 ± 5 mg/L NH_4^+ -N and no nitrate or nitrite, showing no
31
32 181 nitrogen conversion by the AnMBR. The biogas produced by the AnMBR contained 72-
33
34 182 78% methane, resulting in an average methane production of 241 ± 12 mL CH_4 / g COD.
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40 **183 Estimation of total bacteria by *rpoB* gene quantification**

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42 184 Copy numbers of the *rpoB* gene were quantified by dPCR to estimate total bacterial cell
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44 185 counts. Influent municipal wastewater contained an average total bacterial cell count of
45
46 186 $2.26 \times 10^8 \pm 1.21 \times 10^8$ cells/L (Figure 2A) while AeMBR activated sludge contained
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48 187 $1.63 \times 10^9 \pm 2.19 \times 10^8$ cells/g. AeMBR and AnMBR effluent total bacteria were
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50 188 estimated at $1.91 \times 10^4 \pm 2.68 \times 10^3$ and $1.79 \times 10^5 \pm 8.22 \times 10^4$ cells/L, respectively.
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52 189 Based on these values, the AeMBR approximate LRV for total bacterial cells was 4.07
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56 190 while for the AnMBR the LRV was 3.10.
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5 191 **Microbial communities of municipal wastewater influent**

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7 192 Relative abundances of 16S rRNA-based microbial classifications and *rpoB* gene-based
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9 193 total bacterial quantifications were used to estimate the levels of pathogen-associated
10
11 194 genera in the municipal wastewater influent. The results of this analysis showed that 13
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13 195 different pathogen-associated genera were identified in one or more of the wastewater
14
15 196 influent samples. The most consistently detected genera in the influent of the MBRs
16
17 197 included *Acinetobacter*, *Aeromonas*, *Arcobacter*, *Dialister*, *Escherichia*, *Pseudomonas*,
18
19 198 *Stenotrophomonas*, and *Streptococcus* with at least 7 of 9 samples showing positive
20
21 199 detection (Table 1).
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27 200 **Microbial communities of effluents of AeMBR and AnMBR systems**

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29 201 Similarities of the microbial communities of influent samples and effluents from the full-
30
31 202 scale AeMBR and lab-scale AnMBR reactors were calculated using Bray-Curtis
32
33 203 similarities and represented in an mMDS plot (Figure 3). Clustering of samples showed
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35 204 that effluents of both MBR systems were significantly different from the influent samples
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37 205 as well as from each other.
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44 207 All of the genera identified in the municipal wastewater were also detected in the effluent
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46 208 of the full-scale AeMBR at least once (Table 1). Estimated LRVs based on samples
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48 209 containing the associated genera varied from 2.71 to 5.57. Genera with the highest
49
50 210 estimated removal rates were *Acinetobacter*, *Arcobacter*, *Aeromonas*, *Dialister*, and
51
52 211 *Streptococcus*, all of which showed LRVs of above 5. Conversely, *Mycobacterium* and
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54 212 *Legionella* showed the lowest reduction rates, with LRVs of below 3.
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5 214 Of the 13 pathogen-associated groups detected in the influent, 5 genera were observed in
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7 215 the effluent of the lab-scale AnMBR system while 8 were undetected (Table 1). 4 of these
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9 216 5 genera were seen consistently in the effluent with at least 8 of 11 samples showing
10
11 217 positive detection. The detected genera included *Acinetobacter*, *Aeromonas*, *Arcobacter*,
12
13 218 *Pseudomonas* and *Stenotrophomonas*. Estimated LRVs for these groups were 2.48, 3.85,
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15 219 2.92, 2.46, and 1.73, respectively.
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20 220 **Detection of pathogenic species in MBR systems using dPCR**

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22 221 Specific pathogenic bacterial species were targeted by dPCR to determine their relative
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24 222 abundances in the wastewater influent and both full-scale AeMBR and lab-scale AnMBR
25
26 223 effluents. The AeMBR sludge was also targeted due to the potential risk associated with
27
28 224 disposal of the activated sludge (> 600 kg produced daily at the local wastewater
29
30 225 treatment facility) while AnMBR sludge was not tested as anaerobic systems generally
31
32 226 require little to no sludge wastage (SRT > 350 d). Samples were quantified for
33
34 227 *Acinetobacter baumannii* and *Pseudomonas aeruginosa* as their associated genera were
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36 228 found in both MBR effluents. Due to consistent detection of unclassified
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38 229 *Enterobacteriaceae* in effluent samples, *Klebsiella pneumoniae* was also targeted.
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47 231 Primers targeting the *ompA* gene revealed that all wastewater influent (n = 8) and
48
49 232 AeMBR activated sludge (n = 8) samples showed positive detection for *A. baumannii* at
50
51 233 $2.46 \times 10^6 \pm 1.89 \times 10^6$ copies/L and $3.61 \times 10^5 \pm 2.49 \times 10^5$ copies/g, respectively
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53 234 (Figure 2B). The average for the AeMBR effluent samples that showed detection was
54
55 235 $4.99 \times 10^3 \pm 1.15 \times 10^3$ copies/L (3 of 7). In the case of the AnMBR effluent, only 1 of 11
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57 236 samples indicated the presence of *A. baumannii* at a concentration of 1.67×10^2 copies/L.
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5 237 For the effluent samples that showed positive detection of *A. baumannii*, estimated LRVs
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7 238 of 2.69 and 4.17 were calculated for the AeMBR and AnMBR systems, respectively.
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11 240 Results revealed that *P. aeruginosa* was also present in all influent samples at 5.46×10^3
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14 241 $\pm 3.58 \times 10^3$ copies/L but was undetected in any AeMBR activated sludge samples
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16 242 (Figure 2C). Despite not being present in the activated sludge, 4 of 7 AeMBR effluent
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18 243 samples indicated the presence of *P. aeruginosa* at an average *regA* gene concentration of
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20 244 $6.17 \times 10^2 \pm 5.58 \times 10^2$ copies/L. 2 of the 11 AnMBR effluent samples also showed
21
22 245 positive detection with an average concentration of 3.34×10^2 . The LRV rates for the
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24 246 AeMBR and AnMBR effluent samples indicating *P. aeruginosa* presence were 0.95 and
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26 247 1.21, respectively.
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33 249 *K. pneumoniae* was similarly detected in all 8 influent samples at an average of $7.37 \times$
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35 250 $10^5 \pm 4.72 \times 10^5$ copies/L (Figure 2D). This species was further identified in 5 of 8
36
37 251 AeMBR activated sludge samples at a *phoE* gene concentration of $9.69 \times 10^4 \pm 3.22 \times 10^4$
38
39 252 copies/g. Effluents of both the AeMBR and the AnMBR also showed positive detection
40
41 253 for *K. pneumoniae* at $5.91 \times 10^2 \pm 6.36 \times 10^0$ (3 of 7) and $9.70 \times 10^1 \pm 2.00 \times 10^1$ (5 of
42
43 254 11), respectively. This resulted in LRVs of 3.10 and 3.88 for *K. pneumoniae* in the
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45 255 AeMBR and AnMBR systems, respectively.
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51 256 **Quantitative microbial risk assessment (QMRA)**

52 257 QMRA was performed for *A. baumannii*, *P. aeruginosa*, and *K. pneumoniae* to determine
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54 258 exposure doses imposed by AeMBR and AnMBR effluents on agricultural workers
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56 259 during irrigation activities. Average exposure doses and annual risks were calculated
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5 260 based on 95% confidence intervals and are presented in Table 2. Full calculation results
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7 261 including point risk estimates and upper and lower interval bounds are provided in
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9 262 Appendix S4. Exposure doses from irrigation with AeMBR effluent for *A. baumannii*, *P.*
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11 263 *aeruginosa*, and *K. pneumoniae* were determined to be 114, 18.8, and 13.5 cells/event,
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14 264 respectively. These point doses resulted in average annual risk estimates of 6.02×10^{-3} ,
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17 265 1.88×10^1 , and 4.17×10^{-3} , respectively (Table 2). In the case of the AnMBR effluent,
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19 266 event exposure doses were lower than that in AeMBR effluent and calculated to be 0.81,
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22 267 3.23, and 2.35 cells/event for *A. baumannii*, *P. aeruginosa*, and *K. pneumoniae*,
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24 268 respectively. This resulted in annual risk estimates of 4.29×10^{-5} , 6.30×10^{-2} , and $7.28 \times$
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27 269 10^{-4} , respectively.

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31 271 The potential risks associated with the disposal or land application of activated sludge
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33 272 produced by the AeMBR were also evaluated using QMRA for *A. baumannii* and *K.*
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35 273 *pneumoniae* based on their detection in activated sludge samples (Table 2). Exposure
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38 274 doses were calculated for both dermal exposure and accidental ingestion of sludge by
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41 275 workers or individuals present at disposal/land application sites and used to estimate the
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44 276 annual risks associated with each. Exposure doses from dermal contact during disposal of
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47 277 AeMBR dewatered activated sludge were calculated to be 377 and 63.2 cells/event for *A.*
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49 278 *baumannii* and *K. pneumoniae*, respectively. These exposure doses resulted in associated
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51 279 annual risk estimates of 4.98×10^{-3} and 4.89×10^{-3} , respectively. Likewise, accidental
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54 280 ingestion doses of dewatered sludge during disposal were calculated for *A. baumannii*
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56 281 and *K. pneumoniae* as 1990 and 334 cells/event, respectively, resulting in respective
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58 282 annual risk estimates of 2.60×10^{-2} and 2.55×10^{-2} .

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5 283 **Discussion**

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7 284 Both the full-scale AeMBR and lab-scale AnMBR exhibited stable performance
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9 285 throughout the duration of each system's operation as well as differences in their
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11 286 respective nitrogen conversion rates. Overall microbial community structures of the
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14 287 effluents of each reactor were significantly different from influent wastewater microbial
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16 288 communities as well as from each other (Figure 3), implying that reactor type and water
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19 289 quality parameters can significantly affect effluent microbial community dynamics.
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21 290 Nonetheless, a range of pathogen-associated bacterial genera were found in the effluents
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24 291 of both systems at varying removal rates based on average influent wastewater
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26 292 concentrations. These findings confirm those of previous studies which indicate that
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29 293 although MBRs provide higher microbial removal rates than conventional wastewater
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31 294 treatment systems, effluents still contain detectable levels of potentially harmful bacteria
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34 295 (Francy et al. 2012; Zhang and Farahbakhsh 2007).
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38 297 Existing research on the bacterial removal capacities of MBRs using culture-based
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41 298 methods has indicated that overall LRVs of total coliforms, *Escherichia coli*, and
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43 299 *Enterococcus* are in the ranges of 5.5-6, 4.5-6, and 4.6-6.2, respectively (Marti et al.
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45 300 2011; Ottoson et al. 2006; van den Akker et al. 2014; Zanetti et al. 2010). The AeMBR
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47 301 examined in this study showed removal rates that were in a similar range with a total
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50 302 bacterial LRV of 4.1 and at least 6 pathogen-associated genera with LRVs of ≥ 4.5 (Table
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53 303 1). Nonetheless, all 13 of the pathogen-associated genera present in the influent were also
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55 304 detected in the full-scale AeMBR effluent. LRVs ranged from as low as 2.71 (*Legionella*)
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58 305 to as high as 5.57 (*Arcobacter*). System operating conditions and water quality
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5 306 parameters can potentially contribute to these differences. For example, the observed
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7 307 increase in nitrate concentration between influent to effluent samples could have favored
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9 308 denitrifying groups such as *Pseudomonas* (Carlson and Ingraham 1983) and contributed
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11 309 to their relatively lower LRVs (< 3.5).
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16 311 Pathogen-associated genera in post-AeMBR effluents are a source of risk that can be
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18 312 easily mitigated by subjecting the effluent to chlorine disinfection (Wisniewski 2007).
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20 313 However, given the potential risks associated with disinfection byproducts, there has
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22 314 been recent interest in evaluating whether MBR effluents can be directly reused for
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24 315 irrigation and other applications (Purnell et al. 2016). As a result, the risks arising from
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26 316 pathogenic bacteria detected by dPCR to workers potentially irrigating with the full-scale
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28 317 AeMBR effluent were evaluated using QMRA. Results of this analysis showed that
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30 318 potential annual risks associated with this activity were above 6.0×10^{-3} for *A.*
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32 319 *baumannii*, *P. aeruginosa*, and *K. pneumoniae*. These values were higher than the
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34 320 average acceptable annual risk of infection of 10^{-4} (Smeets et al. 2009). Similar to what
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36 321 has been previously determined for post-secondary treated effluent from a conventional
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38 322 wastewater treatment plant (Al-Jassim et al. 2015), the findings in this study suggest that
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40 323 despite passing through an MF membrane, post AeMBR effluent still requires
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42 324 disinfection prior to use for irrigation activities.
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53 326 Of the three specific pathogenic species targeted by dPCR, both *A. baumannii* and *K.*
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55 327 *pneumoniae* were found to be in relatively high abundance in the AeMBR activated
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57 328 sludge (Figures 2B and 2D). Conversely, *P. aeruginosa*, although present in both influent
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5 329 and effluent samples of the AeMBR, was not detected in the activated sludge. Previous
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7 330 studies have also found *K. pneumoniae*, but not *P. aeruginosa*, at high concentrations in
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9 331 activated sludge (Dudley et al. 1980; Ju et al. 2016). These findings reiterate the need for
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11 332 an accurate evaluation of pathogen presence in activated sludge due to the environmental
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14 333 risks associated with sludge disposal and land application regulations (McCall et al.
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17 334 2015; Wéry et al. 2008). Furthermore, due to the wide range of sludge pretreatment
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19 335 practices employed worldwide (Pérez-Elvira et al. 2006), the risk of pathogen exposure
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21 336 during land application of untreated dewatered sludge remains of major concern. The
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23 337 present study evaluated the annual risk of infection by *A. baumannii* and *K. pneumoniae*
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25 338 present in the full-scale AeMBR activated sludge in a dewatered state during sludge
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28 339 disposal/land application practices using QMRA. Results indicated that average annual
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30 340 risk by both dermal exposure and accidental ingestion were above 4×10^{-3} for both
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32 341 pathogenic species detected in the AeMBR sludge (Table 2), implying a significant
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34 342 potential health risk for workers and individuals exposed to dewatered sludge during
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37 343 disposal and land application activities. These results highlight the need for proper
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39 344 treatment of activated sludge prior to disposal (e.g. by anaerobic digestion) or by
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42 345 employing alternative technologies capable of sludge production minimization.
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48 347 One possible alternative approach is the use of AnMBRs for wastewater treatment due to
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50 348 their inherently low sludge production rates. A similar evaluation of LRVs to that which
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52 349 was conducted for the AeMBR was hence also performed for AnMBR effluent to
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55 350 determine if it would be suitable for direct reuse. A relatively smaller number of
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58 351 pathogen-associated genera were detected in the lab-scale AnMBR effluent compared to
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5 352 those in the wastewater influent (5 of 13). The LRVs of those genera, however, ranged
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7 353 from 1.73 (*Stenotrophomonas*) to 3.85 (*Aeromonas*), implying that the operating
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9 354 conditions and effluent parameters of the anaerobic system enrich for specific bacteria
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11 355 while removing others. Of the 5 pathogen-associated genera identified in the AnMBR
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13 356 effluent, those with the lowest LRVs (*Acinetobacter*, *Pseudomonas*, and
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15 357 *Stenotrophomonas*) have been previously determined to include high ammonia
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17 358 assimilating species (Sasaki et al. 2005a; Sasaki et al. 2005b). These groups were likely
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19 359 enriched for by the AnMBR's limited nitrification capacity (Table S4). Furthermore,
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21 360 given that all of the genera found in the effluent except for *Acinetobacter* are known to be
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23 361 either strictly or facultative anaerobic, the system's anoxic conditions likely facilitated
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25 362 the survival of these bacteria. *Acinetobacter*, a strictly aerobic bacterium, has been known
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27 363 to exhibit rapid adaptability and survival in anaerobic conditions (Zafiri et al. 1999),
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29 364 which potentially allowed for its persistence through the AnMBR system while other
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31 365 aerobic genera were fully removed.

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41 367 Despite showing LRVs at the genus and family level in the range of 2.48-2.87 (Table 1),
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43 368 pathogenic species associated with *Acinetobacter* and *Enterobacteriaceae* (as detected by
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45 369 dPCR) showed higher removal in the AnMBR (LRVs of 4.17 and 3.88, respectively).

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48 370 These findings imply that although the effluent of the AnMBR likely enriched for several
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50 371 pathogen-associated genera, the abundances of their respective pathogenic species could
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52 372 be significantly lower. QMRA analysis of the AnMBR effluent for irrigation activities
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54 373 revealed that the annual risk of infection by *A. baumannii* was below the annual
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56 374 acceptable limit (4.29×10^{-5}). Conversely, *K. pneumoniae* was slightly above that

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5 375 threshold (7.28×10^{-4}), while the annual risk for infection by *P. aeruginosa* was
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7 376 determined to be more substantial (6.30×10^{-2}). These results imply that, compared to
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9 377 AeMBR effluent, chlorination may be less crucial for the AnMBR effluent. This is useful
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11 378 for reducing the formation of disinfectant byproducts without significantly compromising
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13 379 associated microbial risks. However, for selected microbial groups (e.g. *P. aeruginosa*),
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15 380 additional process optimization measures or better management practices would be
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17 381 necessary to minimize occupational hazards and public health concerns.
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24 383 One limitation of the present study is that it cannot be considered a comparison of
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26 384 AeMBRs and AnMBRs for pathogen removal, but is rather a case study of two systems
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28 385 with vastly different operational parameters. Nonetheless, the conclusions drawn from
29
30 386 this study regarding the lab-scale AnMBR and its removal capacity are relevant to
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32 387 evaluating it as an alternative municipal wastewater treatment system. The AnMBR was
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34 388 generally effective at removing the pathogenic species targeted by dPCR with only a
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36 389 small number of the total effluent samples showing positive detection and relatively
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38 390 higher LRVs for those samples (Figure 2). Furthermore, the ability of anaerobic reactors
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40 391 to convert municipal waste to energy instead of sludge indicates that AnMBRs may be
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42 392 advantageous in addressing the microbial-based problems associated with wastewater
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44 393 treatment and sludge disposal.
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395 **Conclusions**

396 Specific removal rates of pathogens can vary significantly regardless of the system
397 employed. All of the pathogen-associated genera detected in the influent were also
398 identified in the full-scale AeMBR effluent with a wide range of LRVs. The AnMBR was
399 generally effective at removing the pathogenic species targeted by dPCR with only a
400 small number of effluent samples showing positive detection. Nonetheless, QMRA
401 analysis showed that despite favorable removal rates, direct reuse of the MBR effluents
402 could still pose a substantial risk to humans. Likewise, the activated sludge produced
403 from the AeMBR plant introduces an additional risk arising from land application or
404 disposal practices. These findings emphasize the necessity for a comprehensive
405 understanding of pathogenic removal rates from influent, as well as pathogenic presence
406 in sludge and effluents through molecular-based approaches.

407

408 **Table 1.** Average number of cells/L of genera associated with opportunistic pathogens.
 409 Calculated based on 16S rRNA-based high-throughput sequencing relative abundances as
 410 determined by RDP Classifier database analysis and average total bacterial cell counts as
 411 determined by *rpoB* gene copy numbers, assuming one gene copy per bacterial cell. Log
 412 reduction values (LRV) are shown for both the full-scale AeMBR and the lab-scale
 413 AnMBR. The numbers of samples showing positive detection are shown in parenthesis.
 414 ND indicates that the genus was not detected in any of the samples of that type and (-)
 415 indicates total removal.

Genera	Influent Avg. (n = 9)	AeMBR Eff. Avg. (n = 8)	AnMBR Eff. Avg. (n = 11)	AeMBR LRV	AnMBR LRV
<i>Mycobacterium</i>	1.2 x 10 ⁴ (1/9)	1.9 x 10 ¹ (5/8)	ND	2.81	-
<i>Treponema</i>	3.3 x 10 ⁴ (5/9)	1.6 x 10 ⁰ (1/8)	ND	4.32	-
<i>Arcobacter</i>	1.0 x 10 ⁷ (9/9)	2.7 x 10 ¹ (7/8)	1.2 x 10 ⁴ (11/11)	5.57	2.92
<i>Neisseria</i>	3.4 x 10 ⁴ (3/9)	1.1 x 10 ⁰ (1/8)	ND	4.49	-
<i>Acinetobacter</i> *	1.4 x 10 ⁷ (9/9)	1.1 x 10 ² (7/8)	4.7 x 10 ⁴ (11/11)	5.13	2.48
<i>Pseudomonas</i> *	2.4 x 10 ⁵ (7/9)	7.7 x 10 ¹ (8/8)	8.1 x 10 ² (8/11)	3.49	2.46
<i>Legionella</i>	1.0 x 10 ⁴ (3/9)	2.0 x 10 ¹ (7/8)	ND	2.71	-
Unclassified					
<i>Enterobacteriaceae</i> *	1.3 x 10 ⁶ (9/9)	4.4 x 10 ¹ (6/8)	1.8 x 10 ³ (7/11)	4.48	2.87
<i>Escherichia</i>	9.8 x 10 ⁴ (8/9)	3.4 x 10 ¹ (1/8)	ND	3.46	-
<i>Stenotrophomonas</i>	1.6 x 10 ⁵ (8/9)	2.2 x 10 ¹ (6/8)	3.0 x 10 ³ (10/11)	3.87	1.73
<i>Aeromonas</i>	1.6 x 10 ⁶ (9/9)	8.3 x 10 ⁰ (5/8)	2.3 x 10 ² (4/11)	5.30	3.85
<i>Streptococcus</i>	1.0 x 10 ⁶ (9/9)	8.5 x 10 ⁰ (4/8)	ND	5.07	-
<i>Enterococcus</i>	1.2 x 10 ⁴ (1/9)	5.5 x 10 ⁰ (2/8)	ND	3.34	-
<i>Dialister</i>	3.9 x 10 ⁵ (9/9)	2.3 x 10 ⁰ (1/8)	ND	5.23	-

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Table 2. Average exposure dosage and annual risk of pathogenic species at a 95% confidence interval as determined by quantitative microbial risk assessment (QMRA) for irrigation exposure with influent and AeMBR and AnMBR effluents as well as AeMBR activated sludge dermal exposure and ingestion for land application/disposal activities.

Exposure dose and annual risk of species	Influent wastewater - exposure	AeMBR effluent - exposure	AnMBR effluent - exposure	AeMBR sludge - exposure	AeMBR sludge - ingestion
<i>A. baumannii</i> exp. dose	1.31 x 10 ⁵	1.14 x 10 ²	8.08 x 10 ⁻¹	3.77 x 10 ²	1.99 x 10 ³
<i>A. baumannii</i> annual risk	9.99 x 10 ⁻¹	6.02 x 10 ⁻³	4.29 x 10⁻⁵	4.98 x 10 ⁻³	2.60 x 10 ⁻²
<i>P. aeruginosa</i> exp. dose	2.91 x 10 ²	1.88 x 10 ¹	3.23 x 10 ⁰	-	-
<i>P. aeruginosa</i> annual risk	9.97 x 10 ⁻¹	3.15 x 10 ⁻¹	6.30 x 10 ⁻²	-	-
<i>K. pneumoniae</i> exp. dose	3.92 x 10 ⁴	1.35 x 10 ¹	2.35 x 10 ⁰	6.32 x 10 ¹	3.34 x 10 ²
<i>K. pneumoniae</i> annu. risk	1.00 x 10 ⁰	4.17 x 10 ⁻³	7.28 x 10 ⁻⁴	4.89 x 10 ⁻³	2.55 x 10 ⁻²

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430 **Figure legend**

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432 **Figure 1.** Schematic diagrams of both MBR systems sampled in this study. **(A)**
433 Schematic of full-scale AeMBR WWTP. Sampling points are indicated by orange dots
434 and include (1) post clarification influent (2) aerobic activated sludge (3) MBR effluent.
435 **(B)** Schematic of lab scale AnMBR. Sampling points are indicated by orange dots and
436 include (1) post-clarification influent (2) anaerobic sludge (3) AnMBR effluent.

437
438 **Figure 2.** Gene abundances associated with **(A)** total bacteria (*rpoB*), **(B)** *Acinetobacter*
439 *baumannii* (*ompA*), **(C)** *Pseudomonas aeruginosa* (*regA*), and **(D)** *Klebsiella pneumoniae*
440 (*phoE*) expressed per liter of sample for wastewater influent, AeMBR activated sludge,
441 AeMBR effluent, and AnMBR effluent. Numbers of samples for which each gene was
442 detected out of total samples are shown in parentheses below each column. Asterisks (*)
443 indicate that sample groups are significantly different from all other groups of the same
444 gene type (unpaired *t*-test, $P \leq 0.05$).

445
446 **Figure 3.** Microbial community metric multidimensional scaling plot (mMDS) for the
447 (A) influent wastewater used for both systems, (B) full-scale AeMBR effluent and (C)
448 AnMBR Effluent. Black colored symbols represent the centroid of all samples of one
449 type.

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